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**KLEBSIELLA PNEUMONIAE: ASPECTS OF POPULATION GENOMICS**

**Abstract.** *Klebsiella pneumoniae* is one of the causes of antimicrobial-resistant opportunistic infections. *Klebsiella pneumoniae* is naturally resistant to penicillins and many antimicrobials. Knowledge about the ecology, population structure, or pathogenicity of *K. pneumoniae* is limited by laboratory technology. *K. pneumoniae* is a threat for public health due to an increase in healthcare-associated infections caused by multidrug-resistant strains that produce extended-spectrum  $\beta$ -lactamases and/or carbapenemases. The phenomenon of severe community-acquired infections caused by particularly virulent *K. pneumoniae* is associated with strains expressing acquired virulence factors. The presence of such serious biomedical problems makes sustained interest in research on *K. pneumoniae* using genetic methods. In this article, we discuss the possibilities of genomic approaches, their role in understanding the taxonomy, ecology, and evolution of *K. pneumoniae*, its diversity, and the distribution of clinically relevant determinants of pathogenicity and antimicrobial resistance. A detailed consideration of the structure and diversity of the *K. pneumoniae* population is important for understanding research results, interpreting clinical data, and developing and implementing methods to combat this pathogen.

**Key words:** *Klebsiella pneumoniae*, antimicrobial-resistant, ecology, population, opportunistic infections, genomics.

**Introduction**

+ manifestations (subsp. *rhinoscleromatis* which causes a progressive and chronic granulomatous infection known as rhinoscleroma, and subsp. *ozaenae* which causes atrophic rhinitis or ozena) actually represent CGs of *K. pneumoniae* (CG3 and CG90). Like hvKp clones, these strains also express specific capsule types (K3, K4 and K5) alongside aerobactin and another acquired siderophore, yersiniabactin (Ybt) [9].

Due to its clinical importance and increasing AMR, *K. pneumoniae* is increasingly the focus of surveillance efforts and molecular epidemiology studies. The sheer volume of clinically relevant molecular targets renders whole-genome sequencing (WGS) the most cost-efficient characterization approach, however extracting and interpreting clinically important features is challenging. To address this, scientists have developed Kleborate, a genotyping tool designed specifically for *K. pneumoniae* and the associated species complex, which consolidates detection and genotyping of key virulence and AMR loci alongside species, lineage (ST) and predicted K and O antigen serotypes directly from genome assemblies [10]. First, show that Kleborate can rapidly recapitulate and augment the key findings from a recent large-scale European genomic surveillance study. Next, apply Kleborate to a curated collection of 13,156 publicly available WGS to further showcase its utility and derive novel insights into the glob-

al epidemiology of *Klebsiella* AMR, virulence and convergence. Finally, Kleborate can also be applied to detect clinically relevant genotypes from metagenome-assembled genomes (MAGs) [11].

**Material and Methods.**

To conduct the literature review we used three stages: planning the review, developing the research question, conducting the review, selecting and assessing the quality of studies included in the analysis, and reporting the results. There were 38 articles have been selected.

The research question included the study of the awareness of genomics in understanding the structure of the *K. pneumoniae* population, transmission, pathogenicity, antimicrobial resistance.

The literature search was made using the following bibliographic databases: PubMed, Cochrane Database of Systematic Reviews and Scopus. The search strategy included controlled vocabulary, such as the National Library of Medicine grid (medical headlines), and keywords: *Klebsiella pneumoniae*, antimicrobial resistance, ecology, population, opportunistic infections, genomics.

At the first stage, the headings and annotations were evaluated, after which full articles were selected for in-depth study of the research problem. An assessment of the compliance of the full articles with the inclusion criteria was carried out.

The studies included in this review met the following inclusion criteria:

Studies on multidrug-resistant clones *Klebsiella pneumoniae*, plasmid diversity in *Klebsiella pneumoniae*, pathogenicity factors *K. pneumoniae*; a study that allows comparing the results.

After eliminating repetitive work (duplicates), a total of 20 studies were identified, where genomics plays a major role in understanding the structure of the *K. pneumoniae* population, transmission, pathogenicity, and antimicrobial resistance.

## Results and Discussion

Typical *K. pneumoniae* genomes are ~5–6 Mbp in size, encoding ~5,000–6,000 genes. Approximately 1,700 genes are conserved in all members of the species (core genes), whereas the remainder are variably present (accessory genes). The total pan-genome (the sum of all core and accessory genes) is extremely diverse and likely exceeds 100,000 protein coding sequences. The majority of accessory genes are rare in the population, that is, they are present in <10% of genomes. Taxonomic and GC content analyses suggest that these genes are shared with a wide range of other bacterial species, most commonly other *Klebsiella* species followed by other Enterobacteriales but also including more distant orders [12].

Phylogenetic analyses based on 1,000–2,000 core or common chromosomal genes show that the *K. pneumoniae* population comprises hundreds of deep-branching lineages that differ from each other by ~0.5% nucleotide divergence. These lineages correspond closely to the clonal groups (CGs) defined by core-genome multilocus sequence typing (cgMLST) as subsets of isolates that each share  $\geq 594$  of 694 cgMLST alleles with at least one other member of the group. Whether defined on the basis of core-genome phylogeny (lineages) or cgMLST (CGs), the resulting groups are typically referred to as clones and are identified and labelled based on the dominant seven-gene multilocus sequence type, allowing backwards comparison with the original seven-gene MLST (which covers the entire KpSC). Note that the seven-gene MLST scheme can also be used alone to define CGs, but sometimes fails to correctly distinguish groups whose recent ancestry is affected by chromosomal recombination. *K. pneumoniae* clones can be distinguished from one another on the basis of accessory gene content. This may be explained by clone-specific niche adaptation through horizontal gene transfer (HGT), so long as migration between niches occurs, and there is evidence that it does (discussed below). However, there is also ample evidence of between-clone HGT, largely driven by chromosomal recombination and plasmid-mediated conjugation, although phage-mediated transduction

and integrative conjugative elements (ICEs) also play a role [13].

Homologous recombination between chromosomes is dominated by exchange of capsule biosynthesis loci (a key pathogenicity determinant) and can result in the acquisition of regions of DNA exceeding 1Mbp in length. Many diverse plasmids have been sequenced from *K. pneumoniae*, ranging considerably in terms of length and incompatibility types, although IncFIIK and IncFIBK are the most prevalent. It seems that some *K. pneumoniae* strains may be particularly permissive for plasmid uptake and/or maintenance, resulting in plasmid loads that are typically greater than those reported for *Escherichia coli* and other Gram-negative ESKAPE pathogens. For example, it is not uncommon for a *K. pneumoniae* isolate to carry between four and six different plasmids, with up to 10 reported. Most complete *K. pneumoniae* genomes carry multiple prophages, and multiple distinct *K. pneumoniae* phages have been isolated and sequenced, including for potential use as therapeutic agents. However, there have not yet been any systematic studies of prophage diversity within the population. CRISPR–Cas9 systems and restriction-modification systems are variably present in the *K. pneumoniae* population, however, how these relate to plasmid and phage diversity is not yet clear [10].

## Multidrug-resistant clones *Klebsiella pneumoniae*

MDR, which is defined as resistance to  $\geq 3$  antimicrobial classes in addition to ampicillin to which all *K. pneumoniae* infections are intrinsically resistant, has evolved many times in hundreds of distinct *K. pneumoniae* lineages. Some of these lineages emerge to cause localized problems, spreading within a single hospital or health-care network, for example, MDR clones sequence type 70 (ST70) and ST323 that caused outbreaks in Kilifi, Kenya and Melbourne, Australia, respectively. Such events likely represent chance emergence in a given time and place, and the factors influencing their frequency, likelihood and duration of persistence are not known. Many will remain localized problems, causing no or limited infections elsewhere, but a subset of the most highly resistant lineages (for example, those resistant to third-generation cephalosporins and/or carbapenems) have become global problems. These include the well-studied CG258 alongside CG15, CG20 (CG17), CG29, CG37, CG147, CG101 (CG43) and CG307, which are not related to one another but are each widely geographically distributed and common causes of MDR HAIs and/or outbreaks. Important-

ly, although many studies do attribute the majority of third-generation cephalosporin-resistant and/or CRKp infections to a small number of clones (57% of CRKp infections in the recent Europe-wide study EuSCAPE were ST11, ST15, ST101 or ST258/ST512), in many regions the burden attributed to sporadic MDR strains or local problem clones remains substantial (for example, >33% of strains in a recent national survey of CRKp in the UK) [14].

Wyres K.L. et al present the definition of *K. pneumoniae* clones and a phylogenetic tree obtained using the maximum probability for *K. pneumoniae* genomes selected from the curated collection of authors / the figure shows a diagram of 509 different types of chromosomal multilocus sequences with 7 genes. Phylogenetic clusters (monophyletic groups) were defined using patristic distance (cutoff = 0.04). Clusters corresponding to clones included in the comparative analy-

sis are marked; blue, hypervirulent; grey, unassigned; red, multidrug resistant. b) Total number of genomes included in the comparative analysis, stained for clone type as above. Note that the sample sizes exceed the number of isolates shown in the tree for the respective clones. c) Distribution of virulence and resistance determinants by clones. The intensity of the box shading indicates the proportion of genomes containing key virulence loci (blue) or acquired genes conferring resistance to different antimicrobial classes (red), according to inset labels. Hypervirulent (Hvir) clones were determined by hierarchical clustering of virulence locus data. Clones with multiple drug resistance (MDR) were determined by hierarchical grouping data on resistance. AMR, antimicrobial resistance; rmpA/A2, mucoid phenotype regulators; ESBL, extended spectrum beta-lactams; MLS, macrolides, lincosamide and antibiotics streptogramin B [15], Fig 1.

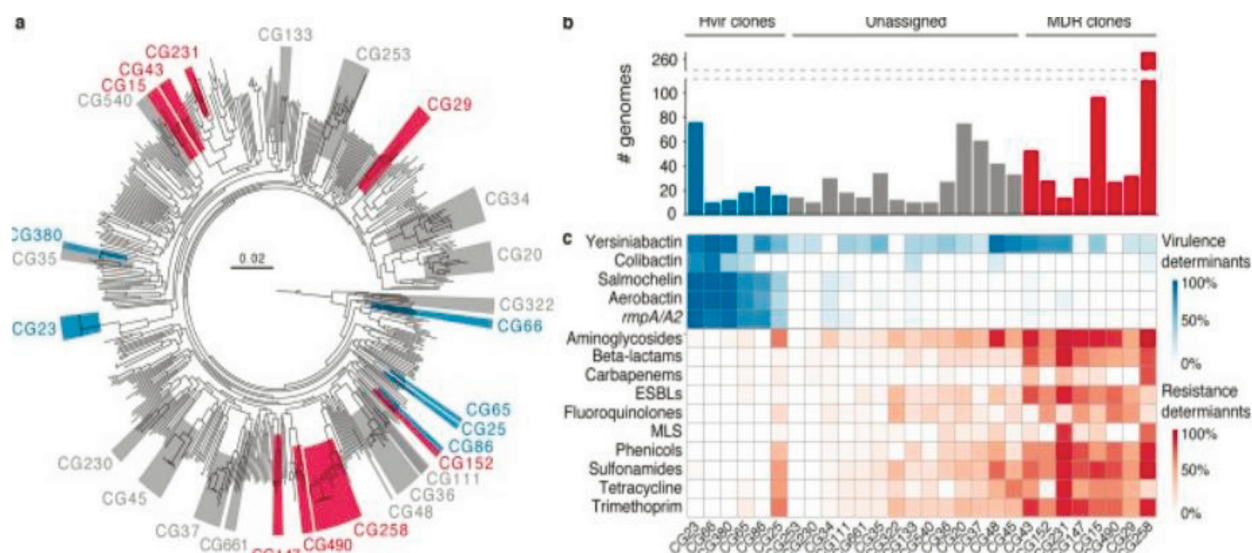


Figure 1- Definition of *K. pneumoniae* clones investigated in this study [15].

### Plasmid diversity in *Klebsiella pneumoniae*

Plasmids are important vehicles for the transfer of antimicrobial resistance (AMR), virulence and other accessory genes between bacterial cells. In *K. pneumoniae*, the majority of horizontally acquired AMR genes are carried on large conjugative (self-transmissible) plasmids belonging to a small number of incompatibility groups, which have been recently reviewed (IncFII, IncN, IncR and IncX3), although small (mobilizable but not self-transmissible) plasmids can also harbour AMR genes in *K. pneumoniae* [16]. Most available data on plasmid diversity and distribution in the *K. pneumoniae* population come

from studies utilizing short-read whole-genome sequencing to survey populations, yielding information on replicon markers or mob (relaxase) gene variants rather than whole plasmids (although this is changing with increased use of long-read sequencing). Using these techniques, plasmid load and diversity have been shown to be significantly higher in multidrug-resistant clones than hypervirulent clones or other clonal groups. The FIBK replicon is associated with both multidrug resistant and virulence plasmids, conjugative and non-conjugative, and is common in all clones. Also common are incompatibility type FIIK and R replicons (associated with large conjugative plasmids) and small (Col) plasmids, followed

by other F plasmid variants (FII, FIA and FIB) and incompatibility types X3, N, HI1B and AC/2. Notably, although there can be significant plasmid variation within a single clone, phylogenomic evolutionary analyses suggest that some problem clones have maintained specific plasmids throughout decades of clonal expansion, punctuated by occasional instances of rearrangement and/or gene deletions. For example, sequence type 258 (ST258) has carried FIBK blaKPC plasmid pKpQIL and ST307 has carried a FIIK blaCTX-M-15 plasmid since each emerged in the mid-1990s; and the FIBK *K. pneumoniae* virulence plasmid (KpVP-1) has been present in ST23 dating back to the 1870s [17].

### Pathogenicity factors *K. pneumoniae*

All *K. pneumoniae* strains harbour a subset of core chromosomally encoded pathogenicity factors that form the basic requirements for establishing opportunistic infections in mammalian hosts. These include the core locus *ent* encoding biosynthesis of the siderophore enterobactin (*Ent*), the core *fim* and *mrk* loci encoding type 1 and type 3 fimbriae, respectively, as well as the variable capsular polysaccharide (K antigen) and LPS (O antigen) biosynthesis loci. *Ent* is required for growth in most niches; the other factors mediate processes at the cell surface involved in the earlier stages of infection and/or evasion of host defense mechanisms. Large-scale genomic comparisons have revealed substantial allelic and gene-content heterogeneity at the capsule (K antigen) and LPS (O antigen) biosynthesis loci, which comprise ~10% of the pan-genome [18].

The capsule is produced through a Wzy-dependent process for which the conserved machinery is encoded by genes that are present in most K-antigen biosynthesis loci (*wzi*, *wza*, *wzb*, *wzc*, *wzx* and *wzy*). However, the capsule-specific sugar synthesis machinery is encoded by a set of highly diverse genes that are variably present and frequently reassorted in the population. To date, >138 distinct combinations have been identified, each defining a distinct K-locus thought to encode a distinct capsule type, but only 77 of these have been distinguished by traditional serological typing. Capsule types K1 and K2 are associated with invasive disease and enhanced pathogenicity in murine models<sup>30</sup> and are highly conserved in hypervirulent clones (K1 in CG23 and K2 in the others). Capsule type K5 is also associated with liver abscess in diverse strain backgrounds, and K3 is restricted to the rare rhinoscleromatis lineage (ST67). Little is understood about the relative virulence of other capsule

types, and most non-hypervirulent clones, including the global MDR clones, exhibit substantial K-locus diversity. A notable exception is CG307, which so far always carries the K-locus 102 (KL102) locus, as well as an additional putative capsule synthesis locus that has a distinct structure to the K-locus and is rare in the broader *K. pneumoniae* population [12].

Similar gene-content variation has been used to define 12 distinct O-loci. Unlike the K-loci, however, the genes responsible for defining the nine recognized LPS O serotypes and >5 subtypes include those at the O-locus and also other regions of the genome (locations are type dependent). Serotypes O1 and O2 are most common among clinical *K. pneumoniae* isolates and may provide comparatively enhanced protection against phagocytosis. There are no firm data as yet on whether K-locus or O-locus variation is related to niche or host specialization, as is the case in other bacterial species [19]. The extent and clinical impact of allelic diversity at the *fim* and *mrk* loci remain relatively unexplored; however, there is evidence that both types of fimbriae contribute to intestinal colonization, biofilm formation on catheters and the ability to cause pneumonia and urinary tract infections. Notably, both loci also play a role in adhesion to plant cells [20].

### Conclusion

The main role in understanding of *K. pneumoniae* population structure, transmission, pathogenicity, antimicrobial resistance belongs to genomics. The application of genomics has revealed remarkable genetic diversity of the microorganism. The emerging population genomic structure has helped clarify different points of confusion related to taxonomy, resistance and virulence; for example, by highlighting those AMR and virulence determinants that are core to all strains and revealing how clonal spread can confound association analyses. It is becoming apparent that there is no such thing as 'typical' *K. pneumoniae*, as gene-content turnover is extensive and even the 'core' loci harbour widespread genetic variation of clinical relevance. Experimental studies could benefit from taking this into account, by including multiple diverse strains and exploring the functional dependence of specific genes on factors that vary substantially with genetic background, including both allelic and gene-content variation. Ideally, such studies would also report the genome sequences of strains, enabling others to interpret the findings and make comparisons with their own strain collections.

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